

# Telephone Transaction Authority Form

TTAFo22016v2

**U Ethical Enhanced Cash Trust**  
**U Ethical Australian Equities Trust**

## 1. Account holder details

Account number

Account name

## 2. Type of request

New

Change

## 3. Fund details

U Ethical Enhanced Cash Trust

U Ethical Australian Equities Trust

## 4. Bank account to be credited

Account name

BSB

Account number

### Bank account validation.

Please provide one of the following validations for this bank account (unless validation has previously been provided). A canceled cheque, a deposit slip or a copy of any documentation from your bank showing the BSB, account number and account name.

## 5. Security

Password

This will be used as your password when making telephone redemptions. Ensure these details are kept confidential.

Security question (mother's maiden name, pet's name or first motor vehicle owned)

Security question answer

## 6. Registered signatories

By signing the below I/we acknowledge the terms and conditions for telephone redemptions on page 3

### Investor 1/Signatory 1

Full Given Name

Family Name

Signature

Date of request

DD / MM / YYYY

### Investor 2/ Signatory 2

Full Given Name

Family Name

Signature

Date of request

DD / MM / YYYY

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## 6. Terms and conditions

### Services available

U Ethical administers a telephone transaction facility to assist the day-to-day management of your accounts and investments.

You will be required to disclose your investment account number and password, after which you may use this facility to obtain:

- account balances
- redeem funds (**maximum telephone redemption per day is \$25,000**)
- recent transaction(s) and interest earnings information
- effect fund transfers
- arrange cheques

If at any time you believe your password has been misused, lost, or compromised in any way, you must inform us immediately.

### Key features and benefits

- › From any telephone in Australia, our telephone transactions facility provides you with access to your accounts between 8.30 a.m. and 5.00 p.m. business days.
- › Access to your accounts via our telephone transactions facility is protected through the issue of a unique password.

### Key risks

- › If you divulge your account numbers or password to a third party, you may be liable for any losses sustained as a result of the actions of such third party.
- › We cannot enable your telephone transactions access if you do not provide us with sufficient or accurate information.
- › Disruption to computer and/or telecommunications systems may occur from time to time resulting in the temporary unavailability of this service.

### Costs

- › There is no fee and no additional transaction costs if you use our telephone transactions service. However, you will incur normal telephone call charges on your phone account.

Please note: Whilst the fund permits redemptions by telephone, you should be aware that U Ethical will not be able to accept a telephone request if the caller does not satisfactorily identify themselves as the investor or authorised representative for the account. Telephone redemptions can only be credited to the nominated bank account or a cheque can be drawn payable to the account holder. The investor agrees that any payment made in accordance with the telephone instruction shall be in complete satisfaction of the obligation of U Ethical and that the investor or any person claiming through the investor will have no claim against U Ethical in respect of the payment. The investor releases, discharges and agrees to indemnify U Ethical from and against any and all losses, liabilities, actions, proceedings or claims arising from any telephone redemption.