

Registered Signatory Form

All funds

This form is to be used to apply new signatories to an existing account(s).

Section 1 - Account details.

Section 2 - Authorise changes to updated signatures listed in section 3.

Section 3 - New authorised signatories(*). Please list all signatories you would like registered on your account on page 2.

* If more than 4 signatories are required, copy section 3.

Certified documentation is required, see our website www.ueethical.com for identification requirements. If you have any questions, contact us on 1800 996 888.

Section 4 - Declarations and acknowledgments.

Please supply evidence of appointment of new signatories (i.e. minutes of meeting, signed delegation of authority, etc).

1. Account holder details

If you own multiple accounts, list only one account number

Account number

Account name

2. Authorise change to updated signatories listed in section 3

This section must be completed by existing account signatories. For U Ethical Enhanced Cash Portfolio, U Ethical Growth Portfolio and U Ethical Australian Equities Portfolio accounts, two signatories must provide authorisation.

Authorisation of Signatory 1/ Investor 1

Full Given Name

Family Name

Signature

Date

DD / MM / YYYY

Authorisation of Signatory 2/ Investor 2

Full Given Name

Family Name

Signature

Date

DD / MM / YYYY

3. Authorised registered signatories

Signatory 1

Full Given Name Family Name

Position

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone (mobile) Telephone (home)

Email address

By signing below, I acknowledge the declarations in section 4

Date DD / MM / YYYY
 / /

Signatory 3

Full Given Name Family Name

Position

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone (mobile) Telephone (home)

Email address

By signing below, I acknowledge the declarations in section 4

Date DD / MM / YYYY
 / /

Signatory 2

Full Given Name Family Name

Position

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone (mobile) Telephone (home)

Email address

By signing below, I acknowledge the declarations in section 4

Date DD / MM / YYYY
 / /

Signatory 4

Full Given Name Family Name

Position

Date of birth DD / MM / YYYY
 / /

Address (PO Box not accepted)

Suburb State Postcode

Telephone (mobile) Telephone (home)

Email address

By signing below, I acknowledge the declarations in section 4

Date DD / MM / YYYY
 / /

4. Declarations and acknowledgments

I/we acknowledge:

All details provided as a registered signatory are true and correct.

I am/we are aware and give consent for U Ethical to use or disclose personal information in accordance with its Privacy Policy in order to*:

- Manage my/our accounts;
- Communicate with me/us about my investment;
- Provide loans;
- Meet statutory and taxation requirements.

*For more detailed information about how we collect, secure, use and disclose your personal information, please read our Privacy Policy at www.ueethical.com/Privacy.

For any additional amounts invested:

I agree that before making an additional investment into a U Ethical Trust or Fund I/we will obtain the current version of the Product Disclosure Statement (PDS) or Offer Document by downloading the most recent version from the website or by contacting U Ethical for a copy to be sent to me/us.

I/we have read all the terms and conditions contained in the PDS or Offer Document and understand and acknowledge the following:

U Ethical has the right to refuse a future investment, or switches without assigning a reason.

U Ethical will not be responsible for any incorrect communications, and that U Ethical will not compensate me for my losses.

The nature of risk attached to the investments this account is for and that the value of my investments may rise or fall and acknowledge that neither U Ethical nor its related parties nor its custodians, guarantees a return of capital or the investment performance or earnings of my investment.

Important declarations: Signatories authorising changes

I /we acknowledge:

Registered signatories listed will be able to make changes to my/our account, including amending distribution instructions, contact/company details and direct debit details.

Registered signatories will be able to redeem funds of any amount. These redemptions may be made at varying intervals of time.

Registered signatories may use U Ethical electronic/telephone services to manage my/our account, even though I/we do not use them ourselves.

U Ethical is not responsible for any loss, liabilities and costs directly or indirectly incurred as a result of the appointment of a registered signatories listed.

Registered signatories are authorised on my/our account until further notice or until a revised Registered Signatories Form is submitted.

Authorised signatories may not give other third party access or authority on my/our account.