

# U Ethical switch request form

## Guide to completing this form

- Use this form to switch some or all of the units from your investment in a product ("Current Trust") into the another product ("New Trust") as nominated below.
- A switch is treated as a redemption of some or all of the units from your existing investment in Current Trust and an application into the New Trust.
- Switching is available when the investor name/s is exactly the same for both the Current Trust of New Trust, provided that you satisfy the minimum withdrawal switch amount from the Current Trust and the required minimum investment balance for each product.
- To switch your investment, units in the Current Trust are redeemed and new units in the New Trust are issued in accordance with the relevant Product Disclosure Statement and Information Booklet (collectively, a PDS), Information Memorandum (IM), Offer Document (OD) and constitution.
- When you request a switch using this form, we will redeem your existing units from the Current Fund at the next available redemption price and we will use the proceeds to purchase units in the New Trust at the relevant application price. There is currently no charge for switching between Trusts, however, buy/sell spreads may apply. Please refer to the relevant PDS, IM or OD for more details.
- If a switch requested for investment in a New Trust is below the minimum investment amount, we reserve the right to not accept your instructions to switch your investment into a New Trust.
- If a switch causes your investment in a Current Trust to fall below the minimum investment balance, we reserve the right to treat your instruction as an instruction to switch your full investment in that Trust.
- You can access a copy of the latest version of the PDS, IM and OD for the relevant product, and any updated information free of charge from [uethical.com](http://uethical.com) or by contacting us.
- The information provided in the PDS, IM and OD is general information only and does not take account of your personal financial situation or needs. You should obtain your own financial advice tailored to your personal circumstances. This form should accompany the PDS, IM or OD for each product. Each PDS, IM or OD contains important information about investing in that product. You should read the relevant PDS, IM or OD before making a decision to invest in a product.
- Switching may have tax implications. You should obtain your own taxation advice tailored to your personal financial circumstances or needs.
- Return the completed form by email, facsimile or mail.
- For any queries, please contact our Client Services team on 1800 996 888 from Monday to Friday, 9am – 5pm Melbourne time.

## 1. Investor Details

### Investor 1

Investor number

Title

Investor given name(s):

Surname/family name:

Registered address:

Phone number:

Email:

### Non-individual investor

Investor number

Title

Authorised signatory given name(s):

Authorised signatory surname/family name:

Legal name of entity:

ABN (if applicable):

Registered address:

Phone number:

Email:

### Investor 2

Investor number

Title

Investor given name(s):

Surname/family name:

Registered address:

Phone number:

Email:

## 2. Switch details

U Ethical product name	Relevant Product Disclosure document section or name	Switch from this Current Trust Select one of the following options below:			Switch to this New Trust Select one of the following options below:	
		Dollar amount	Number of units	Full balance	Dollar amount	Number of units
<b>Minimum redemption amount - \$5,000</b>				<b>Minimum investment amount - \$500,000</b>		
<b>Minimum investment balance - \$250,000</b>						
Cash Management Trust - Wholesale	IM sections 2.1.1, 2.7, 5.1e, 6.1e					
Enhanced Income Trust - Wholesale	IM sections 2.1.2, 2.7, 5.1d, 6.1d					
Diversified Income Trust - Wholesale	IM sections 2.1.2, 2.7, 5.1c, 6.1c					
Australian Equities Trust - Wholesale	IM sections 2.1.2, 2.7, 5.1b, 6.1b					
International Equities Trust - Wholesale	IM sections 2.1.2, 2.7, 5.1a, 6.1a					
Growth Portfolio	Offer Document					

U Ethical product name	Relevant Product Disclosure document section or name	Switch from this Current Trust Select one of the following options below:			Switch to this New Trust Select one of the following options below:	
		Dollar amount	Number of units	Full balance	Dollar amount	Number of units
<b>Minimum redemption amount – no minimum</b>				<b>Minimum investment amount - \$1,000</b>		
<b>Minimum investment balance - \$1,000</b>						
Cash Management Trust	PDS and AIB					
<b>Minimum redemption amount – no minimum</b>				<b>Minimum investment amount - \$1,000</b>		
<b>Minimum investment balance - \$1,000</b>						
Australian Equities Trust	PDS and AIB					

### 3. Distribution of income

(Note – If no election is made, distributions will be reinvested.)

You hereby agree, authorise and direct U Ethical to:

Reinvest any and all income received from your investment in the New Trust

or

Deposit any and all income received from your investment in the New Trust to a nominated account with an Australian financial institution. Please complete the section below.

BSB  Account number

Account name

#### Bank account validation

Please provide one of the following validations for this bank account (unless validation has previously been provided). A cancelled cheque, a deposit slip or a copy of any documentation from your bank showing the BSB, account number and account name.

### 4. Regular investor plan – optional available only for non-wholesale Trust(s)

If you have a regular savings or investment plan for the Current Trust, and wish to have a regular plan or create a plan for more than one Trust, please complete our Direct Debit Form. The form is available on our website, uethical.com.

### 5. Client acknowledgement, declaration and signatures

#### General

- I/We wish to make a switch from the Current Trust(s) and acknowledge that Uniting Ethical Investors Limited (U Ethical) will redeem my/our existing units in the Current Trust(s) at the next available redemption price and acknowledge that redeeming units may give rise to tax consequences.
- I/We wish to make a switch to the nominated New Trust(s) as described in the respective PDS, IM or OD (collectively, **Disclosure Documents**) of the New Trust(s) and agree to be bound by the provisions of this Form and the constitution (as amended) for the Trust(s) in which I/we invest.
- I/We have received, in Australia, an electronic or paper copy of the applicable Disclosure Documents for the New Trust(s) and any other important information that forms part of the Disclosure Documents, and have read and understood the Disclosure Documents to which the applicable New Trust(s) relates.
- I/We agree that future transactions in each New Trust(s) will be made on the terms of the relevant and then current Disclosure Documents, and that the declarations and acknowledgements made in this Form will also apply to all future transactions.
- I/We agree that distributions of income from the New Trust(s) will be automatically reinvested if I/we do not make a distribution of income election on this Form or if a direct credit rejection occurs in respect of my/our nominated account.
- I/We acknowledge that U Ethical reserves the right to reject any switch or to allocate a lesser number of units than applied for.
- I/We understand the risks associated with an investment in the New Trust(s) as they are outlined in the applicable Disclosure Document.
- I/We acknowledge that where a new investment is requested using this Form, U Ethical may require me/us to lodge a full application form and relevant identification documentation as required by law and my/our account may not be opened (or transactions may be restricted) until certified copies of any requested identification documentation have been received.
- I/We declare that we have the legal power to switch between the Current and New Trust(s), or where applicable, have authority to bind an organisation or company to the switch.

- I/We accept full responsibility for any transactions conducted on my/our account(s) by me/us and any authorised signatories appointed by me/us.
- I/We declare that if signing under a Power of Attorney, I/we have legal power to switch between the Funds, and authority to bind, the individual, company or organisation to the switch and I/we have not received notice of revocation of that power.
- I/We acknowledge that by providing U Ethical with my/our email address, I/we consent to U Ethical corresponding with me/us via email, where permitted by law, unless I/we notify U Ethical otherwise.
- I/We acknowledge that should my/our account fall below the minimum investment balance, U Ethical reserves the right to redeem all of my/our units in the Trust at any time without the need for a withdrawal request from me/us.
- If my/our TFN(s) or ABN was provided to U Ethical previously, I/we authorise U Ethical to apply the TFN or ABN to the New Trust(s) or any future investments, unless I/we notify U Ethical otherwise.
- I/We understand that if I/we have previously declined to provide my/our TFN/ABN to U Ethical, I/we can provide it at any time by contacting U Ethical.
- In respect of electronic instructions (including by email or fax), I/we acknowledge and agree that U Ethical:
  - reserves the right to reject or accept electronic instructions in its absolute discretion;
  - is not responsible for any loss or delay that results from an electronic transmission not received by U Ethical;
  - will only process electronic instructions that are received in full and contain all the required information as determined by U Ethical to validate the instructions;
  - may require me/us to provide executed hard copy of instructions and/or any further information necessary for U Ethical to validate the instructions;
  - will not compensate me/us for any losses relating to electronic instructions, unless required to do so by law; and
  - does not take responsibility for any fraudulent or incorrectly completed electronic instructions.
- I/We declare that all details in this Form are true and correct.

**Privacy**

I/We consent to providing U Ethical with personal information and for U Ethical to collect, use, disclose and hold personal information in accordance with U Ethical Privacy Policy, available on [uethical.com](http://uethical.com) and in the applicable Disclosure Document.

**Signatures**

**Signatory 1**

Full name of signatory

Corporate title (if applicable); (Sole director/Director/Sole Secretary/Secretary/Trustee/Power of Attorney)

Signature

Date

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**Signatory 2**

Full name of signatory

Corporate title (if applicable); (Sole director/Director/Sole Secretary/Secretary/Trustee/Power of Attorney)

Signature

Date

/
 
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**Individual investor:** Where the investment is in one name, the investor must sign.

**Joint investor:** Where the investment is in more than one name, all investors must sign.

**Corporate investor/Corporate trustee:** Must sign either: (a) under seal and signed by directors; or (b) by two directors or director and company secretary; or (c) by a sole director/sole secretary (where applicable).

**Superannuation/Trust:** Each trustee must sign.

**Authorised registered signatories:** Please provide a completed signatory list attached with this form (on page 6).

**Power of Attorney (POA):** Please provide a certified copy of the POA and a certified copy of the attorney(s) photo identification document (driver's licence or passport) along with certification that they have not received notice of revocation of that power.